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APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 09/871,581 05/31/2001 2681 0.00 19277R US01U 8 34 3

132 77 RRUSOI W CONFIRMATION NO. 3466

Garlick & Harrison P.O. Box 670007 Dallas, TX 75367 FILING RECEIPT
OC000000006383109

Date Mailed: 08/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ashvin H. Chheda, Plano, TX; Yiping Wang, Plano, TX; Mehmet Yavuz, Irving, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for orthogonal code management in CDMA systems using smart antenna technology

Preliminary Class

455



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/871,581

05/31/2001

Ashvin H. Chheda

13277RRUS014

CONFIRMATION NO. 3466

FORMALITIES LETTER

OC000000006382747

P.O. Box 670007 Dallas, TX 75367

Garlick & Harrison

Date Mailed: 08/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1092.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 MBERHE 00000006 09871581

740.00 OP 130.00 ÖP 02 FC:105 03 FC:103 216.00 OP 6.00 DP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket No. 13277RRUS01U)

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In the Application of:

Chheda, et al.

Serial No.: 09/871,581

Filed:

5/31/2001

For: Method and Apparatus for **Orthogonal Code Management** In CDMA Systems Using Smart

Antenna Technology

Group Art Unit: 2681

Examiner:

CERTIFICATE OF MAILING UNDER 37 C.F.R. Sec.

I hereby certify that this response is being deposited in the US Mail with sufficient First Class Postage and is being Addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 under 37 C.F.R. 1.8

es A. Harrison

on October 3, 2001

TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Responsive to the Notice of Missing Parts of Nonprovisional Application mailed on August 3, 2001, the period for response now being set to October 3, 2001, enclosed please find a copy of:

- 1. Executed Declaration;
- Copy of Notice of Missing Parts of Nonprovisional Application; 2.
- Check # 5059 in the amount of \$1092 to cover the filing fee of \$962 and a 3. surcharge of \$130 for a non-small entity;
- Copy of Filing Receipt with correction; and 4.
- 5. Postcard.

Please direct all correspondence regarding the foregoing to the undersigned attorney.

Date: October 3, 2001

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Attorney for Applicant